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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: DOUGLAS, Stephen B. et al. Group Art Unit: 3754

Serial No. 10/634,458

Examiner:

Filed: 08/05/2003

Atty. Dkt. No: SCF02A-CIP

FOR: SUPERCRITICAL FLUID DELIVERY SYSTEM FOR SEMICONDUCTOR WAFER PROCESSING

To: Mail Stop IDS
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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24222

CERTIFICATE OF MAILING 37 CFR 1.8: I certify that this correspondence is being deposited on the below date with the U.S. Postal Service with sufficient postage as FIRST CLASS MAIL addressed to: Mail Stop IDS, Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450

Date: 12/12/2003

[] Debra A. Stengel

Commissioner:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is the Applicant's Information Disclosure Statement, 2 sheets form 1449, and 1 reference and other information. In accordance with 37 CFR 1.98(d) copies of most cited references are not provided as they were previously submitted to, or cited by, the Office in prior Application No. 09/837,507 filed 04/18/2001, which is being relied on for an earlier effective filing date under 35 U.S.C. § 120.

Please enter in the above application and communicate in all related matters with the undersigned.

All necessary fees are intended to be included, however the Office is hereby authorized to charge any deficiency or credit any overpayment in the fees to deposit account #500323.

Respectfully submitted,

Andrew P. Cernota

Vernon C. Maine, Reg. No. 37,389
Scott J. Asmus, Reg. No. 42,269
Neil F. Maloney, Reg. No. 42,833
Andrew P. Cernota, Reg. No. 52,711
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Date: 10/12/2003

Debra A. Stengel
[X] Debra A. Stengel

CERTIFICATE OF MAILING 37 CFR 1.10: I certify that this correspondence is being deposited on the below date with the U.S. Postal Service with sufficient postage as EXPRESS MAIL POST OFFICE TO ADDRESSEE addressed to: Mail Stop IDS, Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: [] Vernon C. Maine, Reg. No. 37,389 or [] Scott J. Asmus, Reg. No. 42,269

Commissioner:

INFORMATION DISCLOSURE STATEMENT

Applicants submit this statement and the attached 2 sheet(s) of form PTO-1449 and 1 reference and other information in accordance to the duty of disclosure under 37 C.F.R. §§1.56, 1.97, and 1.98, and requests consideration hereof. In accordance with 37 CFR 1.98(d) copies of most cited references are not provided as they were previously submitted to, or cited by, the Office in prior Application No. 09/837,507 filed 04/18/2001, which is being relied on for an earlier effective filing date under 35 U.S.C. § 120.

Compliance with 37 C.F.R. §1.97: This Information Disclosure Statement is filed within three (3) months of the filing date of a National Application or before the mailing date of a first office action on the merits. No fee or certification is required.

Information Cited: The Applicants hereby make of record in the above-identified application, the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the reference. As all the references listed on attached Form PTO-1449 are in English, no commentary is required.

Remarks: A copy of each reference, together with a listing on Form PTO-1449(modified), is submitted herewith. Applicants respectfully request that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 (modified) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application; and
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined in 37 C.F.R. §102.

Notwithstanding any statement by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

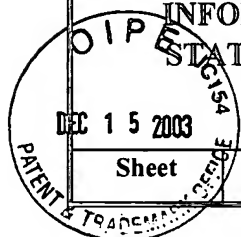
Respectfully submitted,



Vernon C. Maine, Reg. No. 37,389
Scott J. Asmus, Reg. No. 42,269
Neil F. Maloney, Reg. No. 42,833
Andrew P. Cernota, Reg. No. 52,711
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Form PTO-1449				Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/634,458	
				Filing Date	08/05/2003	
				First Named Inventor	DOUGLAS, Stephen	
				Group Art Unit	3754	
				Examiner Name		
Attorney Docket Number	SCF02A-CIP					
Sheet	1		2			



U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code	Kind			
	*	2002/0048731	A1	MULLEE	05-25-2002	
	*	2002/0170577	A1	Mizobata et al	11-21-2002	
	*	5,071,485		Matthews et al.	12-10-1991	
	*	5,090,221		Sewter et al	02-25-1992	
	*	5,261,965		Moslehi	11-16-1993	
	*	5,267,455		Deweese et al	12-07-1993	
	*	5,377,705		Smith, Jr. et al.	01-03-1995	
	*	5,417,768		Smith, Jr. et al.	05-23-1995	
	*	5,494,526		Paranjpe	02-27-1996	
	*	5,505,219		Lansberry et al.	04-09-1996	
	*	5,509,431		Smith, Jr. et al.	04-23-1996	
	*	5,514,220		Wetmore et al.	05-07-1996	
	*	5,642,743		Ranes	07-01-1997	
	*	5,772,783		Stucker	06-30-1998	
	*	5,908,510		McCullough et al.	06-01-2000	

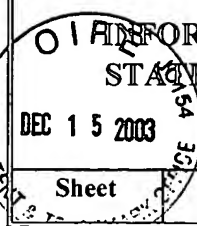
FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office Code	Number				

OTHER PRIOR REFERENCES - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	(Including Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc...), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	T

* In accordance with 37 CFR 1.98(d) a copy of this reference is not provided as it was previously submitted to, or cited by, the Office in prior Application No. 09/837,507 filed 04/18/2001, which is being relied on for an earlier effective filing date under 35 U.S.C. § 120.

Examiner Signature		Date Considered	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT 				Application Number	10/634,458
				Filing Date	08/05/2003
				First Named Inventor	DOUGLAS, Stephen
				Group Art Unit	3754
				Examiner Name	
Sheet	2		2	Attorney Docket Number	SCF02A-CIP

U.S. PATENT DOCUMENTS						
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		Number Code	Kind			
	*	5,996,155		Chao et al	12-07-1999	
	*	6,067,728		Farmer et al.	05-30-2000	
	*	6,085,762		Barton	07-11-2000	
	*	6,085,935		Malchow et al.	07-11-2000	
	*	6,314,601		McClain et al	11-13-2001	
	*	6,442,980		Preston et al	09-03-2002	

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Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office Code	Number	Kind				
	*	WO	01/33615	A2	Supercritical Systems, Inc.	05-10-2001		
	*	WO	01/46999	A2	Supercritical Systems, Inc.	06-28-2001		
	*	WO	99/49998		THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	10-07-1999		
	*	WO	99/49998		THE REGENTS OF THE UNIVERSITY OF CALIFORNIA	10-07-1999		
		WO	01/82368	A2	TOKYO ELECTRON LIMITED	11-01-2001		

OTHER PRIOR REFERENCES - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	(Including Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc...), date, page(s), volume-issue number(s), publisher, city, and/or country where published.		
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